



44  
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~~\$sector~~  
Docket No. 2000-096DIV5  
PATENT

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, ATTN: BOX MISSING PARTS, Washington, D.C. 20231 on 2/5/02.

BY: *James Shadley*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Boussie et al.

Serial No.: 09/992,630

Filed: November 6, 2001

For: Substituted Pyridyl Amine Complexes and Catalysts

Group Art 1754

Unit:

Examiner: Unknown

Assistant Commissioner for Patents  
Washington D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith (check all that apply):

- |                                                                       |                                                           |
|-----------------------------------------------------------------------|-----------------------------------------------------------|
| <input type="checkbox"/> Preliminary Amendment                        | <input type="checkbox"/> Information Disclosure Statement |
| <input type="checkbox"/> Response/Amendment                           | <input type="checkbox"/> Petition Under 37 CFR 1.97(d)(2) |
| <input type="checkbox"/> Response/Amendment After Final               | <input type="checkbox"/> Formal Drawings                  |
| <input type="checkbox"/> Supplemental Amendment                       | <input type="checkbox"/> Declaration Under 37 CFR 1.131   |
| <input type="checkbox"/> Affidavits/Declarations                      | <input type="checkbox"/> Declaration Under 37 CFR 1.132   |
| <input checked="" type="checkbox"/> Declaration and Power of Attorney | <input type="checkbox"/> Terminal Disclaimer              |
| <input type="checkbox"/> Supplemental Declaration                     | <input type="checkbox"/> Small Entity Statement           |
| <input type="checkbox"/> Power of Attorney                            | <input type="checkbox"/> Request for Refund               |
| <input type="checkbox"/> Change of Correspondence Address             | <input type="checkbox"/> Appeal                           |
| <input type="checkbox"/> Associate Power of Attorney                  | <input type="checkbox"/> Petition                         |
| <input checked="" type="checkbox"/> Response to Missing Parts         | <input type="checkbox"/> Status Letter                    |

to be filed in the above-identified patent application.

**TOTAL AMOUNT OF PAYMENT: \$130.00**

**METHOD OF PAYMENT**

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 50-0496

Deposit Account Name: Symyx Technologies, Inc.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set at 37 CFR 1.18 at the Mailing of the Notice of Allowance

**FEE CALCULATION**

**1. EXTRA CLAIM FEES**

			Extra Claims		Fee from below		Fee Paid
Total Claims		-20**	=		X		
Independent Claims		-3**	=		X		
Multiple Dependent Claims (first appearance) \$280/140							

\*\*or number previously paid, if greater; For Reissues, see below

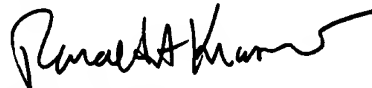
Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent
				<b>SUBTOTAL (2) (\$)</b>

2. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge – late filing fee or oath	\$130.00
127	50	227	25	Surcharge – late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,280	241	640	Petition to revive – unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)					
SUBTOTAL (3) (\$)					130.00

\*Reduced by Basic Filing Fee Paid

Respectfully submitted,



Ronald A. Krasnow  
Reg. No. 33,321  
Attorney for Applicant(s)

Date: 1-31-02

Symyx Technologies  
3100 Central Expressway  
Santa Clara, California 95051  
Tel.: (408) 764-2000 Fax: (408) 773-4029



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ORIGINALLY FILED

Attorney Docket No. 2000-096DIV5  
Patent

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BY: Suzanne Shadley

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Boussie et al.

Serial No.: 09/992,630

Filed: November 6, 2001

For: Substituted Pyridyl Amine Complexes and Catalysts

Group Art 1754

Unit:

Examiner: Unknown

Assistant Commissioner For Patents  
Washington D.C. 20231  
Attention: Box Missing Parts

**RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION**

Dear Sir:

In response to the Notice of Missing Parts mailed December 10, 2001 for the above-referenced nonprovisional application, please find enclosed the signed oath and declaration.

In addition, the Assistant Commissioner is hereby authorized to charge the balance due of \$130.00 to deposit account 50-0496.

If necessary, the Examiner is authorized to charge any additional required fee to Deposit Account 50-0496.

Respectfully submitted,

Date: 1-31-02

Ronald A. Krasnow  
Registration No. 33,321  
Attorney for Applicants

Symyx Technologies, Inc.  
3100 Central Expressway  
Santa Clara, CA 95051  
Tel: (408) 764-2000 Fax: (408) 773-4029



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Page 1 of 1

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/992,630	11/06/2001	Thomas R. Boussie	2000-096DIV5

RECEIVED

CONFIRMATION NO. 5784

22905  
SYMYX TECHNOLOGIES INC  
LEGAL DEPARTMENT  
3100 CENTRAL EXPRESS  
SANTA CLARA, CA 95051

DEC 14 2001

FORMALITIES LETTER



\*OC00000007177509\*

Symyx Technologies  
Legal Department

Date Mailed: 12/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/04/2002 EEKUBAY1 00000090 500496 09992630

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